

Cortical thinning in persons at increased familial risk for major depression

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Edited by Leslie G. Ungerleider, National Institutes of Health, Bethesda, MD, and approved February 11, 2009 (received for review May 31, 2008)

The brain disturbances that place a person at risk for developing depression are unknown. We imaged the brains of 131 individuals, ages 6 to 54 years, who were biological descendants (children or grandchildren) of individuals identified as having either moderate to severe, recurrent, and functionally debilitating depression or as having no lifetime history of depression. We compared cortical thickness across high- and low-risk groups, detecting large expanses of cortical thinning across the lateral surface of the right cerebral hemisphere in persons at high risk. Thinning correlated with measures of current symptom severity, inattention, and visual memory for social and emotional stimuli. Mediator analyses indicated that cortical thickness mediated the associations of familial risk with inattention, visual memory, and clinical symptoms. These findings suggest that cortical thinning in the right hemisphere produces disturbances in arousal, attention, and memory for social stimuli, which in turn may increase the risk of developing depressive illness.

anatomy | cortex | imaging | MRI | cognition

Major depressive disorder (MDD) is a highly familial illness (1). It is the leading cause of disability worldwide for persons 15 to 44 years of age (2), and it is associated with increased mortality resulting from cardiovascular disorder (3), poor personal care (4), and suicide (5). Genetic and environmental factors and their interactions are important in its pathogenesis (6), but the abnormalities of brain structure and function that mediate these effects have not yet been identified. Brain-imaging studies have suggested the involvement of the limbic system and related frontal cortices in persons suffering from MDD, although findings from those studies have been inconsistent and have had relatively small effect sizes. Moreover, studies reporting abnormalities in brain structure and function in already-affected individuals have been unable to discern whether those abnormalities represent the causes of depressive illness, the compensatory neural responses that help to promote recovery or the attenuation of symptoms, the epiphenomenal effects of chronic illness or stress, or the effects of prior or ongoing treatment.

To address these limitations of prior neurobiological studies of already-affected individuals, we undertook a study of brain structure in individuals who are at high familial risk for developing MDD. These individuals belonged to a 3-generation cohort in which the first 2 generations have been followed for more than 20 years. The first generation (G1) comprised 2 groups of adults: 1 group that was clinically ascertained during treatment of moderate to severe, recurrent, and functionally debilitating MDD; and a control group composed of a sample of matched adults, ascertained from the same community, who had no discernible lifetime history of depression. The second generation (G2) comprised the biological offspring of the first generation, and the third generation (G3) comprised the offspring of the second generation. Longitudinal assessments in this sample (7) and in similar 2-generation studies (8, 9) have helped define the natural history of depression in the offspring of depressed individuals, with elevated rates of anxiety disorders before puberty usually being the harbinger of MDD that begins in mid- to late adolescence and that tends to be more severe, more recurrent in adulthood, and less responsive to treatment than nonfamilial MDD.

A prior electroencephalographic study of this cohort demonstrated greater alpha asymmetry in posterior leads of persons in G2 who had 2 depressed parents compared with offspring who had 1 or no depressed parents (10). The increased asymmetry derived from relatively less activity in parietotemporal leads over the right hemisphere and was independent of a personal lifetime history of MDD. The findings suggested that reduced right parietotemporal activity is a trait marker for vulnerability to developing MDD, prompting us to expect that in the present anatomical imaging study we would detect abnormalities in structure of the right parietotemporal cortices in individuals at elevated familial risk for depression.

Results

We imaged 131 individuals, 66 (12 children, 54 adults) in the high-risk group and 65 in the low-risk group (31 children, 34 adults). As expected, the frequency of lifetime MDD was significantly greater in the high-risk group ($n = 37$, 56%) than in the low-risk group ($n = 15$, 23%) ($\chi^2 = 13.54$, $df = 1$, $P = 0.0002$), as was the frequency of lifetime anxiety disorder (high-risk group: $n = 34$, 52%; low-risk group: $n = 14$, 21%; $\chi^2 = 11.42$, $df = 1$, $P = 0.0007$). These rates of lifetime MDD and anxiety disorder in the low-risk group were comparable to those reported in community surveys (11–13). At the time of MRI scanning, the high-risk group had significantly more current MDD (high-risk group: $n = 16$, 25%; low-risk group: $n = 7$, 11%; $\chi^2 = 4.28$, $df = 1$, $P < 0.05$) but not anxiety disorder (high-risk group: $n = 23$, 35%; low-risk group: $n = 16$, 25%; $\chi^2 = 1.79$, $df = 1$, $P = 0.18$) compared with the low-risk group, and the groups were similar in the severity ratings of depression and anxiety symptoms (Hamilton anxiety rating scale score (14) in high-risk adults = 5.2 [SD 6.1]; in low-risk adults = 4.6 [SD 6.0]; $t = 0.41$, $df = 80$, $P = 0.68$; Hamilton anxiety rating scale score (15) in high-risk adults = 5.0 [SD 5.4]; in low-risk adults = 5.1 [SD 7.3]; $t = 0.04$, $df = 80$, $P = 0.97$).

Maps of cortical thickness demonstrated broad expanses of statistically significant thinning in the lateral aspect of the right hemisphere in the high-risk group, including the inferior and middle frontal gyri, somatosensory and motor cortices, dorsal and inferior parietal regions, the inferior occipital gyrus, and posterior temporal cortex (Fig. 1). Thinning was absent in the lateral aspect of the left hemisphere of the high-risk group. The average reduction in cortical thickness in the lateral aspect of the right hemisphere of the high-risk group was 0.87 mm (range 0.55–1.36 mm), representing a 28% reduction in the 3-mm average cortical thickness of the low-risk group. Additional areas of thinning included the dorsal

Author contributions: B.S.P., V.W., R.B., H.Z., P.B.A., P.W., and M.M.W. designed research; B.S.P., V.W., R.B., H.Z., X.H., J.L., K.D., P.B.A., and M.M.W. performed research; B.S.P., R.B., H.Z., and X.H. contributed new reagents/analytic tools; B.S.P., V.W., R.B., H.Z., X.H., J.L., K.D., P.B.A., P.W., and M.M.W. analyzed data; and B.S.P., V.W., R.B., H.Z., X.H., J.L., K.D., P.B.A., P.W., and M.M.W. wrote the paper.

The authors declare no conflict of interest.

This article is a PNAS Direct Submission.

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This article contains supporting information online at www.pnas.org/cgi/content/full/080531106/DCSupplemental.

findings were unchanged from those reported here, in which we defined high and low risk on the basis of biological descent from G1 (data not shown).

The spatial patterns for the correlations of cortical thickness with measures of inattention and visual memory and their similarity to the pattern of cortical thinning in individuals in the high-risk group provide clues to the possible mechanisms through which cortical thinning of the right hemisphere may predispose an individual to depressive illness. The right hemisphere long has been thought to subserve certain forms of attention, arousal, and vigilance (17). A prior study of startle amplitude in a subset of persons in G2 and G3 of this cohort reported an exaggerated startle response in the high-risk group compared with the low-risk group (26), suggesting that exaggerated phasic arousal in these individuals in response to abrupt, startling stimuli may be linked to these right-hemisphere abnormalities (27) and may have contributed to the disturbances in attention that these participants reported. This interpretation is consistent with a recent magnetoencephalography report of reduced activity over the right temporoparietal cortex in depressed persons relative to controls during the viewing of emotionally arousing pictures, indicating that depressed individuals have difficulty activating cortices that subserve the arousal dimension of emotion (16). Furthermore, this putative arousal and vigilance system is thought to support the perception of social and emotional cues from the environment in both the visual and verbal domains, because many psychological and lesion studies in humans and nonhuman primates have suggested that the right hemisphere is dominant for the processing and recall (28) of emotional faces (29), emotion-denoting words (30, 31), emotional information embedded within linguistic prosody (32), and emotional meaning (31, 33). These functions are thought to place an individual in an emotional space within an interpersonal world (34), and disturbances in arousal and attention may predispose an individual to developing emotional disturbances within that interpersonal world.

This interpretation of the functional consequences of cortical thinning in the right hemisphere is consistent with the nature of the stimuli that were used to assess visual memory, i.e., faces and family pictures, stimuli that are fundamentally both social and emotional. Excessive thinning of right hemisphere cortices in the high-risk group probably contributed to impairments in the processing of these social and emotional stimuli, perhaps because of the impaired functioning of attention and arousal systems based within the right hemisphere. Impaired processing of social and emotional stimuli in the environment in turn probably predisposes these individuals to the development of affective illness.

Mediator analyses suggested that right hemisphere cortical thinning mediates the association of familial risk with inattention and visual memory problems, and that inattention in turn mediates the association of cortical thinning with the severity of MDD and anxiety symptoms (Fig. 5). Although the mediating effects of inattention were present in the posterior portion of the right hemisphere, they were most prominent in the temporal and inferior parietal cortices of the left hemisphere, in mirror-image locations of the regions associated with familial risk in the right hemisphere. These various mediator effects did not change when covarying for risk status in the mediator analysis (not shown), indicating that statistical significance was not driven simply by inclusion of the 2 risk groups and their associations with each of the dependent and mediator variables. We calculated the magnitude of the mediator effects at each point of the cortical surface and found that for points where p -values were < 0.005 , the mediators consistently accounted for $> 40\%$ of the total effects of the associations that those variables mediated (SI).

Taken together, these analyses suggest that, although cortical thinning in the right hemisphere mediates the association of familial risk for MDD with inattention and impaired visual memory, inattention mediates the relationship between cortical thinning and the severity of MDD and anxiety symptoms most prominently when

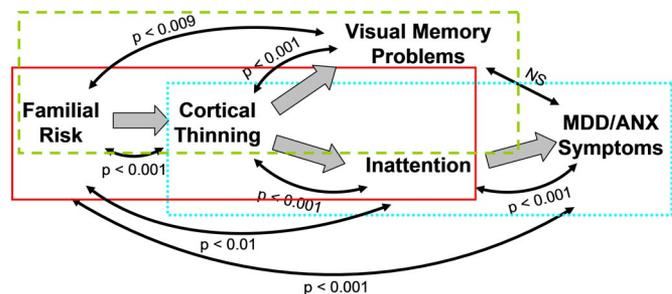


Fig. 5. Model of pathogenesis. Line arrows and adjacent p -values indicate significant bivariate associations. The correlation analyses that generated the p -values are described in the text, except for those involving cortical thickness, which are depicted in the statistical maps of Fig. 1. Block arrows indicate the directions of hypothesized causal influence within mediating pathways. The solid red box encloses the statistically significant mediator analysis in which cortical thinning mediates the associations of familial risk with measures of inattention. The dashed green box encloses the statistically significant mediator analysis in which cortical thinning mediates the associations of familial risk with measures of visual memory. The dotted blue box encloses the statistically significant mediator analysis in which inattention mediates the association of cortical thinning with the symptoms of MDD or anxiety. A familial risk for MDD produces cortical thinning of the right hemisphere. Cortical thinning in turn disrupts attention and arousal processes, as well as visual memory for social stimuli. These disruptions in turn increase the risk for developing MDD. ANX, anxiety disorder; MDD, major depressive disorder; NS, not significant.

cortical thinning also is present in analogous portions of the left hemisphere. We note that cortices in these portions of the left hemisphere were indeed thinner on average in the high-risk group than in the low-risk group (SI), but the thinning was not nearly as great as in the right hemisphere and, in fact, did not reach the level of statistical significance (Fig. 1). Furthermore, these findings suggest that cortical thinning of the right hemisphere in persons who are at increased familial risk for depression may produce inattention and visual memory problems even in the absence of MDD or anxiety. Additional thinning of the corresponding cortical regions in the left hemisphere contributes further to inattentiveness and, in fact, is required to produce the symptoms of MDD or anxiety in persons at either high or low familial risk for depression. The possibilities that familial risk predisposes an individual to MDD and anxiety by virtue of the presence of cortical thinning of the right hemisphere as a trait vulnerability and that additional thinning in the cortex of the left hemisphere is required to manifest symptoms are supported by our findings that thinning in the posterior cortices of both hemispheres, but particularly the left hemisphere, correlated with current symptom severity (Fig. 2).

Differences in cortical thickness between the high- and low-risk groups in regions other than the lateral aspect of the right hemisphere warrant comment. For example, we detected prominent cortical thinning of the mesial wall in the left hemisphere. Although we are uncertain of the functional consequences of these findings, we note that cortical thicknesses here generally followed the same pattern of correlation with measures of inattention and visual memory as did cortical thickness in the lateral aspect of the right hemisphere (Fig. 3), suggesting that all these cortical regions may contribute to attention and visual memory processes. Weighing somewhat against this interpretation, however, is that the mediator analyses indicated that the cortices of the left mesial wall did not significantly mediate the relationship of familial risk with measures of inattention or visual memory (Fig. 4). The mesial wall of the cerebral surface does, nevertheless, contain several regions that contribute to emotional processing, including the mesial prefrontal cortex that helps regulate emotions (35), the medial orbitofrontal cortex that participates in the processing of reward and other hedonic qualities of learned experience (36), and the ventral anterior and posterior cingulate cortices, which may contribute to

the emotional qualities of free associative thought (37). Finally, our findings of right-hemisphere thickening and left-hemisphere thinning of the subgenual cingulate cortex are consistent with variable reports of abnormal metabolism (38), gray matter volume (38, 39), and glial cell reductions (40) in this region in adults who have familial MDD. These portions of the cingulate and mesial prefrontal cortices are important components of the limbic system, and they are intimately connected to other limbic structures, such as the amygdala, hypothalamus, brainstem autonomic nuclei, and dorsal prefrontal cortex, regions that are of central importance in the processing of emotions and probably are mediators of the neurovegetative, somatic, and cognitive symptoms of MDD.

Whether these findings will generalize to all forms of familial depression is unknown. MDD is a heterogeneous illness, with multiple genetic and environmental determinants. Certainly, studying multiple offspring of a limited number of probands in G1 enhanced our ability to detect the neurobiological correlates of some forms of familial MDD. Nevertheless, these participants were offspring from numerous families, and the MDD to which they were prone undoubtedly was heterogeneous across families. Therefore, the prominent abnormalities in cortical thickness that we observed despite this heterogeneity of causes suggests that the thinning probably represents a common final pathway that mediates the effects of multiple genetic and epigenetic influences on the risk for developing MDD.

Materials and Methods

We scanned 131 individuals, ages 6 to 54 years, who were descended from a total of 58 distinct families from G1. The high-risk group contained 66 individuals

(comprising 12 children, defined as persons younger than 18 years of age, and 54 adults), and the low-risk group contained 65 individuals (31 children, 34 adults). The diagnostic interviews across all waves (1) were conducted using a semistructured diagnostic instrument (the Schedule for Affective Disorders and Schizophrenia—Lifetime Version for adults, and a child version of the instrument that was modified for the *Diagnostic and Statistical Manual of Mental Disorders*, edition 4 (41) for participants 6 to 17 years of age) (42). Assessments at the time of scan also included measures of inattention, hyperactivity, and impulsivity using the DuPaul-Barkeley Attention Deficit Hyperactivity Disorder rating scale (43); measures of immediate and delayed memory functioning using the Wechsler Memory Scales for adults or the Children's Memory Scales for participants younger than 18 years of age (44, 45); the Children's Depression Rating Scale-Revised (46) or the Hamilton Depression Rating Scale (14) to measure depressive symptoms in children or adults, respectively; and the Revised Children's Manifest Anxiety Scale (47) or Hamilton Anxiety Rating Scale (15) to measure anxiety symptoms in children and adults, respectively. We constructed an index of the severity of either depressive or anxiety symptoms across children and adults for use in correlation analyses by converting the respective measure in each age group into a z score for each participant, and those z scores then were combined across age groups into a single variable for each symptom domain, either "z-depression," "z-anxiety," or "z-depression/anxiety" (the sum of z-depression and z-anxiety scores across all participants).

ACKNOWLEDGMENTS. We thank Juan Sanchez, Monique James, and Dr. Richard Bronen for their technical assistance. This work was supported in part by Grants MH36197 and K02-74677 from the National Institute of Mental Health, grants from the National Alliance for Research in Schizophrenia and Affective Disorders (NARSAD), a National Institute on Drug Abuse supplement to grant MH36197, the Sackler Institute at Columbia University, and the Suzanne Crosby Murphy Endowment at Columbia University.

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